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<ul> <li>(30) Priority Data: 94203205.3 3 November 1994 (03.11.94) (34) Countries for which the regional or international application was filed:</li> <li>(71) Applicant (for all designated States exception BOEHRINGER MANNHEIM GMBH [DE/DE]; Strasse 116, D-68298 Mannheim (DE).</li> <li>(72) Inventor; and (75) Inventor/Applicant (for US only): SWAAK, Anthoni Gerardus [NL/NL]; Kralingseweg 322, NL-3066 R dam (NL).</li> <li>(74) Agent: SMULDERS, Th., A., H., J.; Vereenigde Oreaux, Nieuwe Parklaan 97, NL-2587 BN The Hagen</li> </ul>	AT et :  or US Sandhof  ius, Jose B Rotte	claims and to be republished in the event of the receipt of amendments.

## (54) Title: USE OF ERYTHROPOIETIN IN THE TREATMENT OF RHEUMATOID ARTHRITIS

#### (57) Abstract

A novel use of the known protein Erythropoietin (EPO) and/or a derivative and/or a fragment thereof is disclosed. EPO is used as a pharmaceutical for the treatment of chronic inflammations. A particularly beneficial result is seen in patients suffering from rheumatoid arthritis (RA). Significant effects are seen in clinical variables such as morning stiffness, swollen joints, and the like.

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Title: Use of erythropoietin in the treatment of rheumatoid arthritis.

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The invention relates to certain novel uses of the known protein erythropoietin (EPO), or substances having such activity as disclosed herein.

Erythropoietin is a humoral regulator of erythropoiesis, which stimulates the production of erythrocytes. In normal conditions it is produced in sufficient quantities in the kidneys and the liver.

In case of hypoxic shocks (such as massive blood loss) erythropoietin production needs to be increased, which means that it has to be synthesised <u>de novo</u>. In disease-free conditions, erythropoietin levels in circulation are extremely low.

Certain diseases or side-effects of treatments of certain diseases lead to a chronic anaemia which overcharges the capacity of erythropoietin production, or otherwise cannot be met by the body's own erythropoietin resources. These diseases include chronic insufficiency of the kidneys, anaemias associated with malignancies, neonate anaemia, chronic anaemia associated with rheumatoid arthritis (ACD), anaemia after bone marrow transplantation, aplastic anaemia, myeloplastic syndrome and various haemoglobin related diseases. Also anaemic side effects have been shown to occur in various chemotherapies and AZT-therapy.

In these cases it may be helpful to administer EPO to increase erythrocyte production.

Human EPO is available as a recombinant protein, which ensures that sufficient quantities can be produced in a very pure form.

Several studies with recombinant human erythropoietin (r-hu-Epo) have been carried out, mainly in patients who underwent renal dialysis for chronic renal failure, in which diminished production of Epo and severe anaemia requiring regular bloodtransfusions occurs. A correction of anaemia by

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r-hu-Epo was shown in these cases with minimal side-effects (16,17,18). In AIDS-patients treated with Zidovudine, causing bone marrow suppression, administration of 100 U r-hu-Epo/kg thrice weekly intravenously, significantly decreased transfusion requirements (19).

The invention provides a novel use of erythropoietin which is not directly related to its erythrocyte stimulating properties.

This use is specifically clear in rheumatoid arthritis, which therefore is more specifically described as explanatory example for the invention.

Rheumatoid arthritis is an inflammatory disease of synovial membranes, usually expressing itself in a symmetrical polyarthritis. During the course of their disease 70% of rheumatoid arthritis (RA) patients develop some kind of anaemia (1), which may be due to iron deficiency (2,3), vitamin B12 deficiency or folic acid deficiency (4,5), haemolysis or adverse reactions to anti-rheumatic drugs (6,7). In addition active RA is frequently (in nearly 50%) accompanied by anaemia of chronic disease (ACD) (8).

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Factors involved in the pathogenesis of ACD are ineffective erythropoiesis (9), interleukin-1 (10), tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ) (11), decreased erythropoietin synthesis (5,12,13) and/or a decreased response to erythropoietin by the bone marrow (14,15).

So far only a few studies with r-hu-Epo have been carried out in RA patients. A haemoglobin (Hb) rise was shown in two anaemic RA patients treated with r-hu-Epo, 125-250 IU/kg thrice weekly, a significant haematocrit rise was recorded (20).

We have treated ten RA patients who suffered from ACD with recombinant human EPO.

In all RA patients a rise in haemoglobin was observed. Despite a wide range of values, the increase in haemoglobin became significant after the second week of treatment with recombinant human EPO.

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Besides this expected result of EPO treatment a different unexpected benefit was obtained by the treatment.

The invention thus provides the use of erythropoietin or a substance having erythropoietin-like activity in the preparation of a pharmaceutical for the treatment of chronic inflammations, especially those related to (auto-)immune diseases, in particular RA. In RA we found an overall improvement in the clinical parameters for scoring disease activity. Most impressive are the results on clinical variables such as painscore and morning stiffness as disclosed below. A significant decrease in the number of tender joints was already observed after two weeks of treatment. The changes in other clinical parameters did not reach statistical significance due to the wide range of values and the small number of patients in the study. However, when the parameters were expressed as percentages of their baseline value, significant improvements were observed.

In addition to this effect on clinical variables a further positive effect was seen in the area of an overall sense of well-being of the treated patients.

According to the invention any erythropoietin which has the ameliorating effect on chronic inflammations can be used. Preferably this erythropoietin is not immunogenic so that it can be administered repeatedly. This will usually lead to the use of human erythropoietin of any origin, although recombinant erythropoietin seems the product of choice because of its purity and constant quality. On the other hand it may very well be possible to use non-human truncated forms of mammalian erythropoietin as long as they have the activity and are not immunogenic upon normal administration to patients. Selected mutants (longer acting, more stable), fragments or derivatives of erythropoietin may also be used as long as they fulfil both criteria.

It is worthwile to note that patients not having a kind of anaemia can thus be treated with EPO. However, caution has to be taken that Hb-levels do not rise to detrimental levels. Ways of lowering the Hb-levels are well-known in the art.

Also, it will be necessary to ensure that no hypertension occurs at a detremental leval. Ways to avoid such a reaction are also well known in the art.

One of the mechanisms through which EPO may ameliorate the disease symptoms in RA (or other chronical inflammations) 5 is that it mobilises iron towards haemoglobin production. Iron (free and/or bound in ferritin) deposits are known to occur in the synovia of RA-affected patients. Synovial fluid iron levels correlate with RA activity and therefore it is thought that iron is involved in the initiation or maintenance of RA 10 synovitis through mediating tissue damage. The role of iron in the pathogenesis of RA may be related to the fact that iron stimulates the production of hydroxyl radicals, which are very potent agents in the destruction of cartilage, membranes and proteins. A thorough discussion of the role and the mechanisms 15 of iron in the inflamed joint can be found in Vreugdenhil et al. (23). In said study it is suggested to administer iron chelators to RA patients. EPO does not chelate iron. However, EPO does mobilise iron to be incorporated into haemoglobin through serum transferrin. Thus EPO may reduce the levels of 20 iron in the synovial fluids.

Another possible mechanism which may be responsible for the unexpected beneficial effect of EPO in (especially) RA, may be found in its influence on the  $T_{h1}/T_{h2}$  balance.

25 One of the key functional parameters determining the outcome of immune responses, for example infectious agents, is the nature of the cytokines produced locally by immune cells. At this moment evidence is obtained that T-cells can be classified into  $T_{\rm h1}$  and  $T_{\rm h2}$  cells; both characterized by a different cytokine secretion profile.  $T_{h1}$  cells secrete IL-2 30 and TNF- $\gamma$  upon activation bu not IL-4 or IL-5, and  $T_{\rm h2}$  cells produce IL-4 and IL-5 but not IL-2 or TNF-y. The differential cytokine profile of these CD4+T cells correlates with different effector functions exerted by these cells:  $T_{\rm h1}$  cells mediate delayed type hypersensitivity (DTH) responses and  $\ensuremath{\mathtt{T}}_{h2}$ 35 provide superior help for antibody productions by B cells. There is also some support for the notion that  $T_{h1}$  and  $T_{h2}$ 

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cells are progency of  $Th_0$  cells which can produce IL-2,  $TNF-\gamma$ , IL-4 and IL-5 simultaneously.  $T_{h1}$  like cytokine secretion profile. In different animal studies and observations in human diseases, like leprosy, evidence is obtained that the balance 5 between Th1 and Th2 response determined the outcome of for example an infectious disease and disease manifestations. At this moment a selective activation of Th1-like T cells is proposed as a hallmark of the aethiopathogenesis of rheumatoid arthritis. Evidence for this hypothesis is formed by the fact that on histopathological examination of the synovial tissue, a DTH like of inflammatory reaction is observed which is characteristic for a Th1 response.

Some observations in our RA patients treated with r-hu-EPO showed a rise in serum IgE levels; which is consistent with the concept that EPO can give support for a  $T_{h2}$ -like response. In other ways influencing the  $T_{h1}\text{-}T_{h2}$  balance in a more Th2 cytokine secretion profile. Indirect evidence for this hypothesis is formed by the fact that 2 out of 3 monoclonals raised against EPO are of the IgE class (IgE synthesis is regulated by IL-4).

When EPO is administered to new-born rats a reduced neutrophil production is observed. This reduced neutrophil production may be partly responsible for the ameliorating effect observed in our patients in that neutrophils play a key role in inflammatory reactions.

It has also been observed that EPO can in some ways counteract the activity of TNF- $\alpha$ . TNF- $\alpha$  is an important proinflammatory cytokine.

It may also be the case that EPO diverts the multipotent progenetor blood cells to the production of erythrocytes instead of granulocytes.

#### EXPERIMENTAL

#### Patients:

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This study focused on the effects of r-hu-Epo on RA disease activity parameters. It is a part of a project studying the pathogenesis of ACD and possible therapeutic

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strategies. The effect of r-hu-Epo on the anaemia and iron metabolism is reported in more detail (21).

Ten patients with RA (22) were studied, fulfilling the criteria for ACD as proposed by Carwright (8). ACD was confirmed by measuring stainable iron in a bone marrow preparation. Patients treated previously with iron, vitamin B12, folic acid and cytotoxic drugs were excluded. Other causes of anaemia were also excluded such as the presence of haematuria, positive occult bloodtest in stool, decreased creatinine clearance, haemolysis and low vitamin B12 of folic acid.

The demographic features of the studied patients are summarized in table I. All patients used a variety of non steroidal anti-inflammatory drugs.

#### 15 <u>Treatment</u>:

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Recombinant human Erythropoietin (r-hu-Epo, Boehringer, Mannheim, Germany), was administered three times a week at a dose of 240 units/kg subcutaneously at the right upper leg for 6 weeks.

# 20 Clinical and laboratory monitoring:

Detailed clinical and laboratory evaluation was performed at entry and weekly by the same physician, till the end of the study (6 weeks), then at 9 and 12 weeks after onset of the study. Routine laboratory procedures were used for assessment of haemoglobin (Hb), haematocrit (Ht), mean corpuscular volume 25 (MCV), mean corpus haemoglobin (MCH) and reticulocytes count. Serum iron was measured spectrophotometrically (Instruchemie, Hilversum, the Netherlands). Transferrin and CRP was assessed with a nephelometer (Ablon Medical Systems, Leusden, the Netherlands) and serum ferritin by solid phase enzyme immune 30 assay (Ferrizyme, Abbott Labs, Chigaco, USA). The erythrocyte sedimentation rate (ESR) was measured by the Westergren method. The Ritchie index, grip strength, number of swollen joints, morning stiffness and a subjective pain score (visual analogue scale, 0-10 points) were assessed as well. Liver and 35 kidney function tests were performed to monitor possible side effects.

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#### Data evaluation:

For evaluation all clinical data were stored and analyzed on a Wang personal computer using the Lotus 1-2-3 program. Statistical evaluation of the results was by Fishers' exact test for group differences. P values of 0.05 or less were considered significant.

#### RESULTS

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Effect of r-hu-Epo on the anemia of chronic disease (ACD).

In all RA patents a rise in haemoglobin was observed (table II). Despite of the wide range of values, the increase in haemoglobin became significant after the second week of treatment compared to baseline values. When treatment was stopped haemoglobin stayed significant higher compared to the baseline value, but dropped in the 12th week.

Iron deficiency developed as shown by the fact that five patients were characterized by ferritin levels lower than 40  $\mu g/ml$ .

Effect of r-hu-Epo on disease activity parameters.

# 20 Laboratory parameters: ESR and CRP.

A decrease in ESR was found in all patients (table III), which started at the third week of treatment and remained so until the end of the study. As illustrated the decrease in eight patients was more than 20% of their baseline value; which was highly significant. The same holds true for the CRP values, but due to the wide range in the absolute values and small number of investigated patients, no significance could be calculated. However, expressing the values as a percentage of the baseline value, also in this way after the third week of treatment, a significant decrease in the CRP levels was observed.

# Subjective clinical scores: painscore (PS) and morningstiffness (MS).

Both parameters (PS and MS) showed during the follow-up a tendency to decrease (table IV). Caused by the variability in absolute values and small number of patients a significancy could not be calculated. When the values were expressed in a

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percentage of the baseline value, the PS decreased significantly after the third week of treatment and the MS after the sixth week.

Objective disease activity scores: gripstrength (GS), Ritchie Index (RI) and number of swollen joints (SJ).

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All parameters as shown in table V showed a continuous tendency towards improvement which lasted during, and also after, the treatment period. In the absolute changes in number of tender joints a significant decrease could be calculated from the third week of treatment. Also a continuous decrease in the number of swollen joints was observed from T3 on and at T9 nine out of ten patients had less swollen joints, which was highly significant.

Caused by the variation of the individual values of the
15 GS, it was impossible to calculate a significance. However,
when the values were expressed as a percentage of their
baseline values after three weeks of treatment, a significant
increase in GS was noted. It should be mentioned that the GS
remained stable in three patients during our investigation.

#### TABLE I

Demographic features of ten patients characterized on having anaemia of chronic disease (ACD) and rheumatoid arthritis (RA)

Female/Male	9/1		
Mean age (years	) 68 ± 6,5		
Treatment:	(2 nationts)		5 mg 1.5-2.5 g/day
Prednisolone Sulphasalasine		range)	200 mg/day 50 mg/in 2 weeks
<del>-</del>	(1 patient)	• .	500-750 mg/day
Auromyose	(1 patient)		
D-Penicillamine	(2 patients) (1	range)	

5 All patients were treated for more than 2 months with the mentioned disease modifying anti-rheumatic drugs.

## TABLE II

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Effect of recombinant human erythropoietin (r-hu-Epo) therapy on haemoglobin and ferritin levels at the defined time periods after onset therapy in ten patients with rheumatoid arthritis (RA)

Variable	Base- line	1	ues duri 6 weeks					y and	after
	TO*	T1	T2	Т3	T4	<b>T</b> 5	Т6	Т9	T12
Hemo- globin	5.9	6.1	6.5**	6.8	7.0	7.2	7.2	7.2	6.6
mmol/l ± sd	0.4	0.5	0.6	0.7	0.9	1.0	1.0	1.1	0.9
Ferritin material	216		143**				80	49	61
μg/ml Range	140-318		44-301				14-157	19-82	52-84

\* Refers to treatment weeknumber.

\*\* Marks the treatment period when the differences between baseline became significant.

#### TABLE III

Effect of recombinant human erythropoietin (r-hu-Epo) treatment on the erythrocyte sedimentation rate (ESR) and C-reactive protein (CRP) levels at the defined time periods after onset therapy in ten patients with rheumatoid arthritis (RA)

Variable	Tp 13-	1		
variable	Baseline		ng 6 and 3 w	
		T3*	treatment pe	
ECD (W)	<del> </del>	13*	T0	Т9
ESR (mmH)	1 00			
mean	82	61**	53**	56**
ranges	42-137	18-112	7-98	7-111
ESR (%)				ļ
mean	100	63	59	64
ranges	-	32-107	16-108	16-144
Number of patients with				
a change > 20%	_	8**	7**	8**
baseline value	_	0 7 7	/ * *	0**
Dasciine value				
CRP (mg/l)				
mean	51	45	43	44
ranges	10-105	4-113	3-122	1-144
CRP (%)				
mean	100	85	85	81
ranges	-	17-155	8-204	5-181
Number of				
patients with		ł		
a change > 20%	_	5**	6**	6**
baseline value		_	·	Ţ

<sup>\*</sup> Refers to treatment weeknumber.

#### TABLE IV

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Effect of recombinant human erythropoietin (r-hu-Epo) treatment on the overall pain score (PS) and morning stiffness duration (MS) at the defined time periods after onset treatment in ten patients with rheumatoid arthritis (RA).

Variable	Baseline	Values duri	ng 6 and 3 w treatment pe	reeks after
		T3*	Т6	Т9
PS mean ranges	3.9 2.7	3.0 1-5	2.7 1-5	2.8
PS (%) mean ranges	100	82 50-150	70 33-150	73 33-100
Number of patients with a change > 20% baseline value	-	7**	8**	6**
MS (min) mean ranges	45 10-120	37 10-120	35 10-120	36 10-120
MS (%) mean ranges	100	88 50-150	78 50-150	85 50-150
Number of patients with a change > 20% baseline value	-	3	5**	5**

<sup>\*</sup> Refers to treatment weeknumber.

<sup>\*\*</sup> Marks the treatment period when the differences compared to baseline values became significant. P > 0.05, Fishers's exact test.

#### TABLE V

Effect of recombinant human erythropoietin (r-hu-Epo) treatment on the Ritchie index (RI), number of swollen joints (SJ) and grip strenght (GS) at the defined time periods after onset treatment in ten patients with rheumatoid arthritis (RA).

	7	7		······································
Variable	Baseline	Values duri	ng 6 and 3 w	eeks after
		the end of	treatment pe	riod.
		T3*	Т6	Т9
RI				
mean	13	10.2	7.7**	6**
ranges	3-38	1-22	1-14	2-13
RI (%)			ļ	
mean	100	66	62	56
ranges	-	25-100	33-111	22-95
Number of				
patients with				
a change > 20%	-	8**	7**	9**
baseline value				
SJ mean	8	6	4.5	4.5
ranges	6-5	3-11	2-8	1-9
14900		3 11	2 0	
SJ (%)				
mean	100	72	61	51
ranges	-	42-100	37-100	20-100
Number of				
patients with				
a change > 20%	-	8*	7*	9*
baseline value	- <del> </del>			
ESR (mmH) mean	72	87	91	90
ranges	15-190	20-220	20-220	15-220
ESR (%)				
mean	100	112	118	118
ranges	-	90-133	90-166	90-166
Number of				
patients with				
a change > 20%	-	4**	4**	5**
baseline value				

<sup>\*</sup> Refers to treatment weeknumber.

<sup>10 \*\*</sup> Marks the treatment period when the differences compared to baseline values became significant.

P > 0.05, Fishers's exact test.

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#### CLAIMS

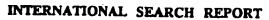
- 1. Use of erythropoietin or a substance having erythropoietin-like activity in the preparation of a pharmaceutical for the treatment of chronic inflammations.
- Use according to claim 1, wherein the inflammation is associated with an immune disease.

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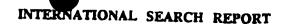
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- Use according to claim 2 wherein the immune disease is an auto-immune disease.
- Use according to claim 3, wherein the auto-immune disease is rheumatoid arthritis.
- 10 Use of erythropoietin or a substance having erythropoietin-like activity in the preparation of a pharmaceutical for the treatment of symptoms associated with rheumatoid arthritis.
  - Use according to claim 5, wherein the symptoms treated comprise at least one of the group of morning stiffness, painful and swollen joints, loss of grip strength and pain.
    - 7. Use of erythropoietin or a substance having erythropoietin-like activity in the preparation of a pharmaceutical for the amelioration of disease activity of rheumatoid arthritis.
  - Use according to anyone of the afore going claims,
    - wherein the erythropoietin is human erythropoietin.
    - 9. Use according to anyone of the aforegoing claims wherein the erythropoietin or the substance having such activity is of recombinant origin.



Inter nal Application No PCT/NI 95/00370

			TOTAL 33	700370
A. CLASS IPC 6	IFICATION OF SUBJECT MATTER A61K38/18			
According	to International Patent Classification (IPC) or to both national clas	ssification and IPC		
B. FIELD	S SEARCHED			
IPC 6	documentation searched (classification system followed by classific $A61K$	cation symbols)		
Documenta	ton searched other than minimum documentation to the extent tha	it such documents are inci	uded in the fields se	zarched
Electronic	data base consulted during the international search (name of data b	ase and, where practical, i	search terms used)	
C. DOCUM	IENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the	relevant passages		Relevant to claim No.
X	GB,A,2 171 304 (CHUGAI SEIYAKU K August 1986 see the whole document	(.K.) 28		7-9
Y	EP,A,O 269 394 (KIRIN-AMGEN, INC 1988 see page 2, line 5 - line 23; cl see page 2, line 33 - line 39 see page 2, line 45 - line 47	·		1-9
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